

16310 U.S. PTO
042004

PATENTS
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17302 U.S. PTO
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042004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
PATENT APPLICATION

Applicants : Stuart A. Fraser et al.
For : SYSTEMS AND METHODS FOR TRADING

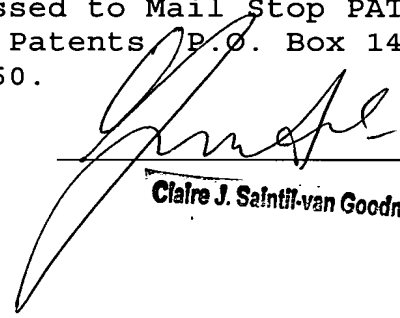
EXPRESS MAIL CERTIFICATION

"Express Mail" Mailing Label No. EV132190547US

Date of Deposit April 20, 2004

I hereby certify that this transmittal letter and the other papers and fees identified in this transmittal letter as being transmitted herewith are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to Mail Stop PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Mail Stop PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450



Claire J. Saintil-van Goodman

TRANSMITTAL LETTER FOR RULE 53(b)
CONTINUING PATENT APPLICATION

Sir:

This is a request for filing a [X] continuation, [] divisional, application under 37 C.F.R. § 1.53(b), of pending prior application No. 09/553,423, (Confirmation No. 3987), filed April 19, 2000.

Transmitted herewith for filing are the [X] specification; [X] claims; [X] abstract; [X] declaration and power of attorney; [X] Application Data Sheet; [X] Preliminary Amendment; for the above-identified patent application.

The enclosed declaration and power of attorney is:

☐ Newly executed (original or copy).

☒ A Copy from a prior application (37 C.F.R. § 1.63(d)).

☐ A signed statement is attached deleting inventors named in the prior application (37 C.F.R. §§ 1.63(d)(2) and 1.33(b)).

☒ The entire disclosure of the prior application, from which a copy of the declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

☒ The prior application, Application No. 09/553,423, filed April 19, 2000, is assigned of record to eSpeed, Inc.

Also transmitted herewith are:

☒ Thirty-Two (32) sheets of:

☒ Formal drawings.

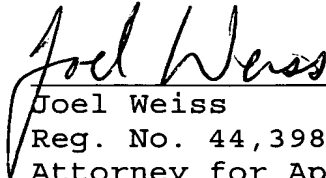
☐ Informal drawings. Formal drawings will be filed during the pendency of this application.

The filing fee has been calculated as shown below:

| CLAIMS AS FILED HERewith | | | | | | | | | | |
|--|-----------------|---|----|----|---|-----------------|-------|---------|-----------|----------|
| FOR | NUMBER FILED | | | | | NUMBER EXTRA | | RATE | FEE | |
| BASIC FEE | | | | | | | | | \$ 770.00 | |
| TOTAL CLAIMS | 7 | - | 20 | * | = | 0 | X | \$ 18 | = | \$ 0.00 |
| INDEPENDENT CLAIMS | | | | | | | | | | |
| | 4 | - | 3 | ** | = | 1 | X | \$ 86 | = | \$ 86.00 |
| FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM | | | | | | | + | \$290 . | \$ 0.00 | |
| * If less than 20, insert 20. | | | | | | | TOTAL | | \$ 856.00 | |

- [X] A check in the amount of \$856.00 in payment of the filing fee is transmitted herewith.
- [X] The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.
- [] Please charge \$_____ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.

Respectfully submitted,


Joel Weiss
Reg. No. 44,398

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